

**REVIEW**

**Epidemiology of spinal cord injury: trends and future implications**

Michael J. DeVivo, Dr.P.H.

Professor

Department of Physical Medicine and Rehabilitation

And

Director Emeritus

National Spinal Cord Injury Statistical Center

Mailing address:

Spain Rehabilitation Center, Room 515

1717 Sixth Avenue South

Birmingham, AL 35233-7330

Phone: 205-934-3320

Fax: 205-934-2709

Email: [devivo@uab.edu](mailto:devivo@uab.edu)

## **Abstract**

**Objective:** To review trends in the incidence, prevalence, demographic characteristics, etiology, injury severity, and selected treatment outcomes of spinal cord injury (SCI).

**Methods:** An extensive literature review was conducted to identify all relevant studies of the descriptive epidemiology of SCI. This review was supplemented by an analysis of trends in SCI epidemiology in the United States (US) that are reflected in the National Spinal Cord Injury Statistical Center (NSCISC) and Shriners Hospital Spinal Cord Injury (SHSCI) databases.

**Results:** Incidence and prevalence of SCI in the US are higher than in the rest of the world. Average age at injury is increasing in accordance with an aging general population at risk. The proportion of cervical injuries is increasing, while the proportion of neurologically complete injuries is decreasing. Injuries due to falls are increasing. Recent gains in general population life expectancy are not reflected in the SCI population. Treatment outcomes are changing as a result of increasing age and changes in US health care delivery.

**Conclusion:** Within the prevalent population, the percentage of elderly persons will not increase meaningfully until the high mortality rates observed among older persons significantly improve. Those who reach older ages will typically have incomplete and/or lower level injuries and will have relatively high degrees of independence and overall good health.

**Keywords:** spinal cord injury; epidemiology; mortality

## Introduction

SCI epidemiology has been studied extensively over the past 40 years. Initial studies focused on descriptive epidemiology, including overall incidence rates, age, gender, race, cause of injury, level and completeness of injury.<sup>1-3</sup>

In the early 1970's, the model SCI care system program was initiated in the United States (US) with a requirement that all model systems submit data on patients they treated to what is now known as the NSCISC database.<sup>4</sup> In 1987, 3 Shriners Hospital SCI units started the SHSCI database in parallel with the NSCISC database. These two databases have been combined and used extensively to develop a descriptive profile of SCI in the US and to evaluate trends in that profile over time.<sup>5-9</sup> Unfortunately, these databases are not population-based. As a result, they cannot be used to evaluate trends in underlying incidence rates. However, these databases have large sample sizes, geographic diversity, a wide range of longitudinal information, and excellent data quality.<sup>10-11</sup>

Beginning in the 1980's, several statewide population-based SCI surveillance systems (registries) were established.<sup>12-16</sup> These were used to evaluate potential biases in the NSCISC database, and taken together, helped to produce a relatively complete picture of SCI epidemiology in the US during the 1980's and 1990's.<sup>17</sup> Unfortunately, most of these state registries no longer exist, and therefore, it is not possible to evaluate actual SCI incidence rate trends in the US. Moreover, although many studies have been conducted in other countries, these have been limited in duration and not repeated, thereby making assessment of international trends in SCI incidence and prevalence extremely difficult.<sup>18</sup>

## **Methods**

### *Literature search*

An extensive literature review was conducted to identify all relevant studies of the descriptive epidemiology of SCI. Internet sites of prominent SCI organizations that provide descriptive information to professionals and consumers were also checked for results of any unpublished studies.

### *NSCISC and SHSCI databases*

The NSCISC and SHSCI databases have been described in detail elsewhere.<sup>7,10-11</sup> Eligibility criteria for the NSCISC database include having a traumatic SCI, being admitted to the SCI Model System within one year of injury, residing within the geographic catchment area of the SCI Model System (to facilitate follow-up), either completing rehabilitation within the SCI Model System, recovering within seven days without rehabilitation, or dying during the SCI Model System hospitalization, and informed consent. Enrollment in the SHSCI database requires a traumatic SCI and admission to a Shriners Hospital SCI unit, but there are no length of time to admission and geographic restrictions. In each case, the treating physician confirms eligibility for enrollment in the database.

For purposes of this review, the NSCISC and SHSCI databases were combined into a single data set (subsequently referred to as the combined US data set), and the usual eligibility criteria for inclusion in the NSCISC and SHSCI databases were relaxed to include a broader range of persons with SCI that would be more suitable for an epidemiologic study. For example, the usual requirements that persons be admitted within one year of injury and reside in the geographic catchment of the SCI model system

were waived. Therefore, although the first SCI model systems were funded in 1973, some persons included in the combined US data set used for this review were injured as long ago as 1935. Nonetheless, 99% of persons in this combined US data set were injured since 1970. Collectively, model SCI care systems and Shriners Hospital SCI units treat approximately 15% of all new SCIs that occur in the US each year.

### *Statistical Analysis*

Estimates of the characteristics of the incident and prevalent population of SCI in the US were derived from the combined US data set and are expressed as means for continuous items and percentages for categorical items. The incident population included 45,442 new injuries that occurred between 1935 and 2008. The prevalent population included a subset of 24,631 persons from the combined US data set who were still alive in December 2008. The physical and psychological outcomes of those prevalent cases were also compared across years post-injury and age using data obtained in 2006-2008. Tests of statistical significance of trends over time were based on the Pearson chi-square test for categorical data and one way analysis of variance for continuous items.

Annual mortality rates for persons enrolled in the combined US data set were determined by creating a person-year data set in which each year of follow-up for each person was treated as a separate observation.<sup>19</sup> Thus, a person who was followed for 5 years and died during the fifth year would contribute 5 observations to the data set. The person in this example would be considered alive at the end of each of the first 4 observations and dead for the fifth observation. Using this approach resulted in a person-year data set of 541,181 observations from which to calculate annual mortality rates.

## **Results**

### *Overall Incidence*

Published reports of SCI incidence in the US vary from 25 to 59 new cases per million population per year with an average of 40 per million.<sup>12-16</sup> This would translate to approximately 12,400 new SCI's in 2010.<sup>20</sup> One study published in 2000 failed to find any significant trend in SCI incidence rates over time, but this study is now more than 10 years old.<sup>21</sup> There have not been any new studies of SCI incidence in the US in more than a decade. Moreover, because of changes in participating model systems every 5 years, as well as the combined US data set's lack of a population basis, the combined US data set is not suitable to evaluate incidence rates. It can only be used to evaluate trends in characteristics of persons with SCI and only if one assumes that there are no trends in any underlying biases that the data set may have. Nonetheless, if one assumes a constant overall incidence rate, then based on US population projections, the incidence of new SCI's would increase to 13,600 in 2020, 14,960 in 2030, 16,240 in 2040, and 17,560 in 2050.<sup>20</sup>

The incidence of SCI in the rest of the world is much lower than in the US.<sup>18</sup> There are several possible explanations for this. One is the relative absence in most countries of SCI due to acts of violence. However, there also appear to be fewer SCI's related to motor vehicle crashes in other countries. Possible explanations for this would be lower average passenger miles of exposure, greater use of seat belts, or safer driving habits and road conditions. Conversely, lower incidence could also result from greater mortality at the site of the accident. Finally, incomplete case ascertainment may have occurred in many of these studies since they are not typically population-based but rather

rely on referrals to specialized centers. No studies have addressed the reasons for international variation in SCI incidence.

Two recent Scandinavian studies have evaluated trends in incidence rates over the past few decades. In Norway, the SCI incidence rate was 6.2 per million population from 1952-1956, but had increased to 26.3 per million population from 1997-2001.<sup>22</sup> Part of this trend could be the result of increasing survival rates at the scene of the accident. Conversely, in Finland, no trend in age-adjusted SCI incidence rates was observed from 1976-2005; however, there was an increase in the SCI incidence rate among persons over age 55.<sup>23</sup> Recent Australian studies have evaluated trends in SCI incidence between 1986 and 1997 and projected future incidence rates through 2021 under a variety of assumptions.<sup>24-25</sup> No change in the overall age-standardized incidence rate was observed, but incidence rates decreased over time for young males and motor vehicle crashes while increasing for elderly males and falls.<sup>24</sup> Additional studies are needed before any meaningful conclusions can be drawn about trends in worldwide SCI incidence rates.

#### *Demographic characteristics of incident cases*

##### *Age at injury*

SCI incidence rates are lowest for the pediatric age group, highest for persons in their late teens and early twenties, and generally decline consistently thereafter, although some studies suggest a secondary increase in incidence rates among the elderly.<sup>12,15,22</sup>

Among persons enrolled in the combined US data set, the mean age at injury has increased from 28.3 years during the 1970's to 37.1 years between 2005 and 2008.<sup>9</sup>

These figures mirror the increasing median age of the general US population, which was

30 years in 1980 and 36.9 years in 2010.<sup>20</sup> More specifically, the proportion of new injuries that were at least 60 years of age at injury increased from 4.6% in the 1970's to 13.2% between 2005 and 2008.<sup>9</sup> This is slightly greater than the change in the US population, where the proportion of the people age 65 or greater increased from 11.3% in 1980 to 13.0% in 2010.<sup>20</sup>

The median age of the US population is projected to continue to increase, but at a reduced pace, from 36.9 years in 2010 to 38.7 years in 2030, and 39.0 years in 2050.<sup>20</sup> Moreover, the proportion of people in the US who are age 65 or greater is also projected to increase from 13.0% in 2010 to 16.1% in 2020, and 20.2% by 2050.<sup>20</sup> Therefore, assuming underlying age-specific incidence rates do not change, the average age when new SCI's occur in the US will likely continue to increase, perhaps by 2 years in the next decade, and lesser amounts each decade through at least 2050. The percentage of new injuries who are at least 60 years of age will continue to increase as well, perhaps by 2% over the next decade, and lesser amounts thereafter.

The average age at injury is a few years higher in most other countries than in the US.<sup>18</sup> This is likely due to the lower rate of injuries due to violence that typically occur among younger persons, although other factors such as the average age of the general population and differences in other cause-specific incidence rates also likely play a role in raising the average age at injury in other countries. In 2001, 13% of the US population was over the age of 65, compared to 18% in Japan and 15% in Europe.<sup>26</sup>

### *Gender*

SCI occurs predominantly among men and will continue to do so in the future. SCI annual incidence rates are typically 3-4 times higher for men than women.<sup>18</sup>

However, the percentage of new injuries occurring among men in the combined US data set has declined slightly over time from 80.9% during the 1970's to 77.1% since 2000.<sup>18</sup> A similar trend has occurred in Norway where the incidence rate was 5.3 times higher among males than females between 1952 and 1956, but only 4.2 times higher between 1992 and 2001.<sup>22</sup> This trend toward a slightly increasing percentage of women among new SCI's should continue because injuries among older persons are increasing, and SCI's among the elderly are more evenly split between men and women than SCI's that occur among teenagers and young adults.

#### *Etiology of injury*

In the US, motor vehicle crashes are the leading cause of SCI.<sup>9,12,15,18</sup> Although the percentage of SCI's due to motor vehicle crashes in the combined US data set has fluctuated over time, it is approximately the same today (48.3% since 2000) as it was during the 1970's (47.6%).<sup>18</sup> Injuries due to acts of violence peaked in the 1990's (21%) but have since declined dramatically (12% since 2000).<sup>18</sup> Overall, sports-related SCI's have declined slightly from 14.2% during the 1970's to 10.0% since 2000.<sup>18</sup> Injury prevention initiatives have reduced the occurrence of SCI's in many sports, most notable diving, American football, and trampolines. However, SCI's from winter sports such as snow skiing have increased.

Falls are the leading cause of SCI among persons over age 60.<sup>15</sup> Therefore, it is not surprising that the proportion of new SCI's due to falls has been increasing steadily as injuries among older persons have become more frequent. During the 1970's, falls accounted for 16.2% of new SCI's in the combined US data set compared to 21.8% since

2000.<sup>18</sup> This trend is likely to continue, with a corresponding decline in sports and violence-related SCI's that do not typically occur among older persons.

### *Severity of injury*

There is substantial international variability in the proportion of new SCI's resulting in tetraplegia and the proportion of neurologically complete injuries.<sup>18</sup> In Europe, the proportion of cervical injuries ranges from approximately 40% to 60%.<sup>18</sup> In the Middle East where more injuries are due to acts of violence, the proportion of cervical injuries is at the lower end of the European range.<sup>18</sup> In the US, 55.7% of new injuries enrolled in the combined US data set since 2000 were cervical injuries.<sup>18</sup>

Several long-term studies have recently reported on possible trends in injury levels over time. In Germany, there was no significant trend in the proportion of cervical injuries between 1976 and 1996.<sup>27</sup> However, other studies suggest an increase in cervical injuries. In Finland, the proportion of cervical injuries increased from 48% to 57% over a 30 year period between 1976 and 2005.<sup>23</sup> In Australia, the percentage of incomplete tetraplegia is forecast to increase in the coming years.<sup>25</sup> In the combined US data set, the percentage of cervical injuries increased from 50.7% during the 1970's to 55.7% since 2000.<sup>18</sup>

Interestingly, in the US, this increase in cervical injuries is due entirely to an increase in C1-C4 lesions from 12.3% to 27.2% while C5-C8 injuries actually decreased from 35.9% to 29.0%.<sup>9</sup> Moreover, this increase in high level cervical injuries has also resulted in a doubling of the percentage of persons being discharged ventilator-dependent, from 1.5% in the 1970's to 5.4% between 2000 and 2004 before declining slightly to 4.6% after 2004.<sup>9</sup>

Assessing trends in neurologic completeness of injury are complicated by changing definitions over time. Nonetheless, it appears that there are more incomplete injuries today than in the past. In the combined US data set, the proportion of complete injuries decreased from 53.6% during the 1970's to 48.7% since 2000.<sup>18</sup> As indicated previously, Australian projections call for an increase in incomplete tetraplegia.<sup>25</sup> The percentage of complete injuries is also on the decline in Finland.<sup>23</sup>

There are many possible causes for an increasing proportion of incomplete injuries. New and improved acute treatment modalities may be having an impact. However, trends in age and etiology are also at least partly responsible because older persons are most likely injured in falls that result in incomplete tetraplegia. Moreover, gunshot-related SCI's are on the decline in the US in the past decade, and these typically result in complete paraplegia.<sup>18</sup>

Given demographic trends in age and etiology as well as the continued possibility of treatment advances and improved acute survival of high level injuries, it is likely that current trends toward an increasing percentages of C1-C4 injuries (about 2% per decade), ventilator-dependency (about 1% per decade), and incomplete injuries at all levels (about 2% per decade) will continue among new SCI's.

#### *Life expectancy*

Based on mortality rates from 2005-2009, among white males injured in motor vehicle crashes who have already survived at least 2 years post-injury, the percentage who die each year by age and neurologic category derived from the combined US data set appears in table 1. Mortality rates are generally low at younger ages, but increase rapidly with advancing age, particularly beyond age 60 for more severe injuries. Based on table

1, the percentage of 50 year old persons who are still alive at age 60 would be 37.2% for ventilator-dependent persons, 59.2% for persons with C1-4 injuries, 67.9% for persons with C5-8 injuries, 78.0% for persons with paraplegia, and 84.7% for persons with American Spinal Injury Association Injury Scale (AIS) D injuries. The percentage of 50 year old persons reaching 70 years of age would be 6.9% for ventilator-dependent persons, 23.9% for persons with C1-4 injuries, 34.3% for persons with C5-8 injuries, 50.8% for persons with paraplegia, and 63.4% for persons with AIS D injuries. The corresponding percentages of the 50 year old white male general population surviving to age 60 and age 70 are 92.9% and 78.8%.

Detailed life expectancy tables have been produced by the NSCISC and are updated annually.<sup>28</sup> Overall, life expectancies following SCI in the US are substantial, but remain significantly below normal for all but the most incomplete injuries.<sup>29-33</sup> These mortality rates and life expectancies are similar to those observed in other developed countries.<sup>34-37</sup>

Over the past 4 decades, considerable progress has been made toward reducing the mortality rate during the first year after injury.<sup>8</sup> Based on the combined US data set, after adjusting for trends over time in age, sex, race, cause of injury, severity of injury, and insurance status, the odds of dying in the first year after injury were 69% lower between 2005 and 2009 than during the 1970's (unpublished data). Beyond the first year after injury, progress in reducing annual mortality rates has been much slower.<sup>8,29-30</sup> During the 1980's the annual odds of dying after the first post-injury year were reduced by 20% compared to the 1970's.<sup>8</sup> However, no meaningful reductions in annual

mortality rates have occurred since the 1980's.<sup>8</sup> As a result, the gap in life expectancy between the general population and persons with SCI is increasing.

Examination of the most frequent causes of death after SCI may offer some clues regarding this lack of progress in life expectancy after SCI. Considerable progress is being made in the prevention and treatment of chronic diseases such as heart disease, cancer, and cerebrovascular disease, which are the leading causes of death in the general population. However, most deaths after SCI involve acute events such as pneumonia, respiratory failure, septicemia and other infective diseases, pulmonary embolus, and external causes (unintentional injuries, suicide, and homicide).<sup>30,38-39</sup> Chronic disease mortality rates after SCI are only slightly elevated from those experienced by the general population, and since these causes of death typically occur later in life, they have less impact on life expectancy than do acute diseases and external events occurring among younger individuals.<sup>38-39</sup>

#### *Overall prevalence*

Although incidence reflects the number of new cases of SCI that occur each year, prevalence is defined as the number of persons with an SCI who are currently alive. Prevalence is determined by both incidence and duration of illness, or in the case of SCI, life expectancy. If both incidence and life expectancy are constant over many years, then prevalence can be estimated as the product of incidence and life expectancy. Using this formula, prevalence of SCI in the US was estimated to be 906 persons per million population in 1980 (30 per million incidence x 30.2 years average life expectancy).<sup>40</sup> This was likely an overestimate because a current estimate of life expectancy was used rather than the average life expectancy over the past 30 years.

Because SCI is a relatively rare condition, estimating prevalence by sampling the population requires a very large sample. As a result, very few attempts to estimate prevalence by sampling populations have been made. In 1988, using a sophisticated area sampling plan, the prevalence of SCI in the US was estimated to be 721 per million population, or 176,965 persons.<sup>41</sup> Moreover, since more new SCI's were occurring each year than deaths among those who already had SCI, prevalence was projected to increase to 246,882 persons by 2004, and 276,281 persons by 2014.<sup>42</sup> This represents an average increase of 4,370 per year between 1988 and 2004, but only 2,940 per year between 2004 and 2014. Without significant changes in incidence or life expectancy, prevalence in the US will continue to increase at a decelerating pace until the number of new injuries each year equals the number of deaths.

There have been 4 studies of SCI prevalence outside the US. In Stockholm, Sweden, in the early 1990's, prevalence of SCI was estimated to be 227 persons per million population.<sup>43</sup> In Tehran, Iran, SCI prevalence in 2008 was estimated to be 440 persons per million population, but the confidence interval was wide (95% CI = 120 – 1,140 per million) because only 4 actual cases of SCI were identified from the sample.<sup>44</sup> In Australia, SCI prevalence in 1997 was estimated to be 681 persons per million population, with a projected increase of 20% by 2021 if age-specific SCI incidence rates remained unchanged.<sup>45</sup>

Recently, the prevalence of SCI in Canada was estimated to be 1,289 persons per million population, or 43,974 persons living with SCI in 2010.<sup>46</sup> Because current estimates of the percentage of normal life expectancy experienced by persons with SCI were applied retroactively as far back as 1921, this will result in an overestimate of

prevalence. Given the estimate of a 40.7 per million incidence rate used in the Canadian study and their use of US life expectancy data, the estimate of prevalence in Canada should be comparable to prevalence of SCI in the US.

Results of a recent study of SCI prevalence in the US sponsored by the Christopher and Dana Reeve Foundation have also been posted on the foundation's web site.<sup>47</sup> Based on a random sample telephone survey of self-reported paralysis due to SCI and without independent confirmation, SCI prevalence was estimated to be 1,263,000 persons, or 4,091 persons per million population. This estimate is considerably higher than any other estimate of SCI prevalence anywhere in the world.

Unfortunately, despite efforts to screen out nontraumatic SCI, it appears that many of the self-identified cases of SCI found in this study are not cases of traumatic SCI as traditionally defined, but are likely transient spinal injuries such as disc herniations, pinched nerves, degenerative joint disease, etc. This view is supported by the descriptive characteristics of the purported prevalent population. Approximately 160,000 persons were estimated to have had their SCI for 3 years or less, which would translate to an incidence rate in excess of 50,000 new injuries each year (4 times the results of previous population-based estimates of SCI incidence). Moreover, the decline in estimated prevalent cases each year after injury is much higher than current mortality estimates, suggesting that many cases are being cured. The average duration of injury was estimated to be only 14 years, which should equal the life expectancy if incidence and life expectancy have been relatively constant over time, but average life expectancy has been shown from other studies to be in excess of 30 years.

Other characteristics of the SCI prevalent population reported by the Christopher and Dana Reeve Foundation also appear to be out of line with previous studies.

Approximately 39% of persons with SCI were reported to be females compared to about 20% in other studies. Work related injuries were 3 times more prevalent (30%) than in the combined US data set (10%). Finally, only 13% reported being completely unable to move, which is lower than found in other studies. Therefore, although overall results describing the prevalence of paralysis as defined in the study may be valid, results reflecting traumatic SCI from this study should be discounted unless confirmed by another study with more rigorous case ascertainment procedures.

#### *Current age*

The mean age of persons alive in December 2008 who were enrolled in the combined US data set was 45.4 years, compared to 40.2 years for persons alive in 2000, and 35.9 years for persons alive in 1990.<sup>9</sup> Persons who were at least 60 years of age were 15.7% in 2008, 9.6% in 2000, and 7.2% in 1990.<sup>9</sup> However, estimates of the characteristics of the prevalent SCI population derived from the combined US data set are increasingly biased by exclusion of long-term survivors as they go back in time. Therefore, the actual increase in the current age of the prevalent SCI population is less than suggested by the previous statistics. In the 1988 study of US prevalence, median age was 41 years, and this would likely be more accurate than contemporaneous estimates from the combined US data set.<sup>41</sup> Given the high annual mortality rates shown earlier for older persons with SCI, it is likely that the average age of the prevalent population will increase at a slower pace in the future and may eventually plateau, likely below age 60.

The percentage of persons with SCI in Canada who are alive in 2010 and at least 60 years of age is estimated to be 36.2%.<sup>46</sup> This is considerably higher than in the US, and is probably an overestimate due to the application of current relative survival rates to calendar years in the 1970's and earlier.

### *Gender*

Females should constitute a slightly higher proportion of the prevalence population than they do for new injuries because of their lower annual mortality rates. In the 1988 US study, 29% were women.<sup>41</sup> However, in the combined US data set, only 22% of persons alive in 2008 were women.<sup>9</sup> There are no estimates of the gender distribution of the SCI prevalence population outside the US. Given the slight trend toward a greater proportion of women among new injuries as well as their slightly greater survival rates, the proportion of women in the prevalent population will continue to increase, but very slowly.

### *Injury severity*

Unlike the trend toward increasing numbers of high level cervical injuries among incident cases, no such trend has occurred among prevalent cases. Overall, 48% of persons alive in 2008 who were enrolled in the combined US data set had cervical injuries, compared to 49.4% of persons alive in 2000, and 49.7% of persons alive in 1990.<sup>9</sup> This lack of trend is because the high mortality rates observed by persons with cervical injuries offset the increasing numbers of new cervical injuries.

In Canada, 56.3% of persons with SCI alive in 2010 were estimated to have cervical injuries. This is consistent with the higher incidence of cervical injuries in Canada (56.7% cervical vs 43.3% thoracic or below).<sup>46</sup>

Similarly, there is no meaningful trend in the proportion of persons with neurologically complete injuries in the prevalent population. Among persons enrolled in the combined US data set who were alive in 2008, 50.4% had neurologically complete injuries, compared to 53.2% of persons alive in 2000, and 51.7% of persons alive in 1990.<sup>9</sup>

#### *Health status and treatment outcomes*

Given the aforementioned trends in demographics and injury severity for both new injuries and persons in the prevalent population as well as the absence of trend in mortality rates beyond the first year post-injury, several negative trends in overall health and function, community integration, and treatment outcomes can be anticipated in the next decade. These can be offset and possibly reversed by new and improved treatment practices.

As the percentage of new injuries over the age of 60 increases, so will the occurrence of pre-existing major medical conditions that could make acute care and rehabilitation treatment more difficult. In one US study conducted during the 1970's and 1980's, 24.3% of persons with SCI who were more than 60 years old at injury had arthritis, 8.6% had significant heart disease, 4.3% had diabetes, and 4.3% were obese.<sup>48</sup> These figures were all significantly higher than those of younger age groups, and will likely be higher today given general population trends toward greater obesity.

Older persons tend to have less functional ability at rehabilitation discharge. Based on NSCISC data, 56.2% of persons aged 46-60 were independent in self care activities at discharge, compared to 39.5% at age 61-75, and only 29.1% at age 76 and older.<sup>49</sup> Rasch converted motor scores of the Functional Independence Measure (FIM)

are 11.4 points lower for persons aged 75-79 compared to age 18-29.<sup>50</sup> When combined with higher average injury levels and reduced lengths of stay, it is not surprising that mean discharge motor FIM scores have declined from 62 during 1987-91 to only 52 during 2002-06.<sup>8</sup> Moreover, despite increased use of outpatient therapy, lower FIM motor scores persist at first anniversary of injury.<sup>51</sup>

Older age at injury is associated with a significantly higher likelihood of discharge to a nursing home both in the US (9% for age 46-60, 15.9% for age 61-75 and 28.5% for age 76 and older) and Canada (5.5% increased risk per year of increased age for C1-4 injuries).<sup>52-53</sup> Higher injury level is also associated with an increased probability of nursing home discharge (2.5% for T1-T12, 4.9% for C5-C8, and 9% for C1-C4).<sup>52</sup> Moreover, those who are not independent in self care are 2.11 times more likely to be discharged to a nursing home.<sup>52</sup> Therefore, it is not surprising that the percentage of nursing home discharges more than doubled between 1970 and 2008 (from 5% to 10.8%).<sup>9</sup> Given these risk factor trends, by 2020, 13-15% of SCI discharges will likely be to nursing homes.

In 2008, 4.6% of persons in the combined US data set were residing in nursing homes.<sup>9</sup> However, this figure will increase more slowly than the trend in nursing home discharges because mortality rates among persons with SCI who reside in nursing homes are more than twice as high, all other things equal, as those living in private residences (unpublished NSCISC data).

Medical complication rates also greatly increase with advancing age. Among persons over 60 years of age at injury, 47% had at least one pressure sore during initial hospitalization, 30% developed pneumonia, 11.4% had deep vein thrombosis (DVT),

10% had a gastrointestinal hemorrhage, and 5.7% had a renal stone.<sup>48</sup> Long-term medical complication rates also increase with both older age and greater injury severity.<sup>54-58</sup> During the 5<sup>th</sup> post-injury year, among persons who are over 60 years of age, 7.1% develop pneumonia and 29.5% have abnormal renal function.<sup>55</sup> The corresponding figures for persons less than 40 years of age are 2.2% for pneumonia and 10.2% for abnormal renal function.<sup>55</sup> The long-term odds of developing a kidney stone are 50% higher for persons who are at least 55 years of age compared to those who are age 25-34, and 90% higher for persons with tetraplegia compared to persons with AIS D injuries.<sup>57</sup> After controlling for other risk factors, the odds of developing a pressure ulcer are 30% higher among persons who are at least 50 years of age compared to those who are age 15-29.<sup>54</sup>

As a result, it is not surprising that long-term medical complication rates have been increasing over the past two decades.<sup>8</sup> During the 5<sup>th</sup> post-injury year, the incidence of pneumonia has increased from 2.5% during 1987-1991 to 4.1% from 2002-2006. Corresponding increases in other medical complication rates were 0.4% to 2.6% for DVT, 0.2% to 0.7% for pulmonary embolus, 3.9% to 6.1% for skin flap surgery, and 2.0% to 3.4% for renal stones.<sup>8</sup> The long-term odds of developing a pressure ulcer were 40% higher during 1994-2002 compared to 1986-1993.<sup>54</sup>

Given these increased medical complication rates, higher injury levels, and advancing age of persons with SCI, one would expect higher rehospitalization rates over time.<sup>59</sup> During the late 1980's, the SCI rehospitalization rate was 524 per 1000 persons aged 61-75, but only 398 per 1000 persons aged 31-45.<sup>49</sup> During the 1970's and 1980's, average days rehospitalized per year actually declined, due most likely to changes in the

US health care system that led to an increased share of complications being treated on an outpatient basis.<sup>8,58</sup> However, from 1992-2006, mean days hospitalized during the 5<sup>th</sup> post-injury year increased from 3.4 to 5.7.<sup>8</sup>

Over the next two decades, due to a combination of risk factor trends that includes advancing age, higher injury levels, and longer average duration of injury, changes should occur in the leading causes of death. Pneumonia will likely retain its number 1 ranking, but heart disease and cancer will increase proportionately, while external causes of death (unintentional injuries, suicide, and homicide) will decline in rank.<sup>38-39</sup>

Psychosocial outcomes are less highly correlated with either age or injury severity and should therefore be less affected by trends in these risk factors over time. While average scores on each subscale of the Craig Hospital Assessment and Reporting Technique (CHART) decline slightly with advancing age, average scores actually increased from 1992-2006.<sup>8,50,60</sup> Satisfaction with life scores are similar across age groups, while self-perceived health declines only slightly.<sup>50</sup> One cohort study showed that measures of quality of life and self-perceived health were quite stable throughout the 1990's.<sup>61</sup>

#### *Cross-sectional vs. cohort studies*

Cross-sectional study designs have been used extensively to study the long-term outcomes of persons with SCI. These are studies in which, ideally, a random sample from the prevalent SCI population is evaluated in terms of the outcome of interest and the factors associated with that outcome. One such factor is typically the number of years post-injury. Many such cross-sectional studies have shown that outcomes are at least as good or better 20-30 years after injury than they are during the first few years after injury.

For example, both CHART and satisfaction with life scores are higher for persons with longer duration of SCI.<sup>60,62</sup> Self-reported health and FIM motor scores are essentially the same at 5 and 30 years after injury.<sup>9,62</sup> The percentage of persons who are rehospitalized in year 30 post-injury is lower than the percentage rehospitalized during post-injury year 5.<sup>9</sup> Conversely, many studies also show that older persons have lower CHART and FIM motor scores as well as higher rehospitalization rates.<sup>49,50,60</sup>

These somewhat conflicting findings can be explained by the impact of a bias related to differential survival over time. Those individuals who are doing poorly do not typically live as long. In fact, the average age for persons in the combined US data set who are 5 years post-injury is 41.4 years while the average age of persons who are 30 years post-injury is only 12.5 years higher, not 25 years higher.<sup>9</sup> As a result, those who are still alive 30 years after injury tend to be less severely impaired on average than persons who are only 5 years post-injury, and they tend to be doing well. Because of this differential survival bias, it is not possible to make inferences regarding changes over time from cross-sectional studies. Such inferences can only be made by conducting cohort studies.

Cohort studies are those that enroll individuals and follow them over time. These study designs typically involve repeated measures and require more sophisticated analytical methods such as linear mixed models or generalized estimating equations. Few such SCI studies have been conducted.<sup>54,56</sup> Another approach that has been used in SCI survival studies and could have other applications is the pooled repeated observations method where each person-year of follow-up is treated as a separate

observation to help distinguish the effects of age, time post-injury, and calendar year, all of which advance simultaneously.<sup>19</sup>

### *Non-traumatic SCI*

The previous discussion of incidence, life expectancy, and prevalence has focused entirely on traumatic SCI. During the past decade, investigators have begun to consider the epidemiology of non-traumatic SCI or a combination of both traumatic and non-traumatic SCI referred to as spinal cord dysfunction (SCD). Unfortunately, there is no consensus on the definition of non-traumatic SCI or which diagnoses should be included under this umbrella term. Moreover, outcomes will vary substantially based on whether the underlying condition is progressive (such as spinal cord tumors) or stable.

While it is not the intent of this review to evaluate the epidemiology of non-traumatic SCI or SCD, a few brief generalizations can be made. First, by almost any definition, non-traumatic SCI has a higher incidence than traumatic SCI. Studies in Australia and Canada indicate that the incidence of non-traumatic SCI is 60-70% higher than that of traumatic SCI.<sup>46,63</sup> Persons who develop non-traumatic SCI tend to be older, are more likely to be female, and less likely to have cervical spinal cord involvement than persons who sustain a traumatic SCI. However, because persons with non-traumatic SCI are older at diagnosis and some have progressive conditions with relatively poor prognoses, average life expectancy is lower for non-traumatic SCI than traumatic SCI. Therefore, at least in Canada, despite its higher incidence, prevalence is actually 5% lower for non-traumatic SCI than traumatic SCI.<sup>46</sup> Studies will need to be done in other locations to confirm this interesting finding.

### **Conclusions**

### *Demographics*

The average age of both newly injured persons and all persons who are currently alive with SCI will increase slowly. The percentage of new injuries occurring among persons over age 60 will increase, but the percentage of the overall SCI population over age 60 will increase more slowly due to high mortality rates among older persons with SCI. Similarly, the percentage of higher injury levels will increase among new injuries but remain relatively stable in the overall SCI population.

### *Outcomes*

The trends toward higher injury levels and older age at injury will provide significant challenges for research. Increasing likelihood of pre-existing medical conditions and secondary medical complications will mean that a higher percentage of persons will not meet eligibility criteria for research studies. Older individuals are more likely to have cognitive difficulties that might make them less willing to participate, harder to follow long-term, and less compliant with study protocols. Shorter lengths of stay in rehabilitation and increasing discharges to nursing homes will make it more difficult for participants to complete study protocols prior to discharge.

Overall treatment outcomes will be less favorable in the future due to trends in age and injury severity. However, those who reach older ages after surviving many years post-injury will typically have incomplete and/or lower level injuries and will have relatively high degrees of independence and overall good health. Nonetheless, given unfavorable overall trends, the use of multivariate statistical techniques will be necessary to adjust for these risk factor trends before evaluating whether actual progress is being made in treatment outcomes.

### *Study design*

Future epidemiologic efforts should focus on developing a more accurate profile of persons with SCI who are alive today (prevalence) as this has received much less attention than corresponding studies of SCI incidence. Studies of incidence should be population-based and include an analysis of trends over time whenever possible.

Detailed investigations of the exact circumstances surrounding how these injuries occur are also needed. Such research might provide important clues to developing cost-effective primary prevention strategies.

Cross-sectional studies should be used to evaluate the current status of persons in the overall SCI population (prevalence). Changes over time as people age should only be assessed by conducting cohort studies. Data collection and reporting should be in accordance with the International SCI Core Data Set and other International SCI Data Sets to facilitate meaningful comparisons across studies.<sup>64-65</sup>

### *Non-traumatic SCI*

The definition of non-traumatic SCI needs to be standardized so that studies can be compared more readily. Results of studies of non-traumatic SCI need to be stratified by underlying diagnosis, or at minimum, progressive diseases need to be separated from non-progressive ones.

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